

## Programme Specification (PG)

Awarding body / institution:	Queen Mary University of London
Teaching institution:	Queen Mary University of London
Name of final award and programme title:	LLM in Banking and Finance Law
Name of interim award(s):	Postgraduate Diploma, Postgraduate Certificate
Duration of study / period of registration:	1 year full time, 2 years part time.
QMUL programme code(s):	M1QB / M2QB
QAA Benchmark Group:	
FHEQ Level of Award:	Level 7
Programme accredited by:	
Date Programme Specification approved:	
Responsible School / Institute:	Centre for Commercial Law Studies

Schools / Institutes which will also be involved in teaching part of the programme:

Collaborative institution(s) / organisation(s) involved in delivering the programme:

### Programme outline

The LLM in Banking and Finance law offers students a thorough grounding in the fundamental principles and practices of international finance and financial services law, covering national, European and international developments from practical, regulatory and policy perspectives.

A wide range of regulatory and transactional areas are covered including international monetary law, banking law, financial regulation, central banking, corporate governance, securities law, corporate finance, mergers and acquisitions, international bank and sovereign insolvency, financial ethics, financing of developing economies, and Islamic finance.

A distinctive feature of the programme lies in the diversity of its teaching team, which includes Academics with a wide exposure to policy making, practitioners from different sectors of banking and finance, and other guest speakers drawn from national and international regulatory and standard setters bodies.

### Aims of the programme

The main purpose of the programme is to expose students to the the latest legal developments and uncertainties in the relevant fields through teaching informed by cutting edge research and practical perspectives.

Programme Title: LLM Banking and Finance Law

This would enable students to :

- develop critical thinking
- being exposed to legal problems from a national and international perspective
- deal with complex legal problems
- understand banking and finance form a legal, economic and policy point of view
- pursue their own independent research

**What will you be expected to achieve?**

As a student you can choose from a wide selection of modules to design a programme of study that best facilitates your interests.

On many of our modules, you will have the opportunity to hear from and discuss with prominent figures from leading institutions, including the Bank of England, the World Bank, the Federal Reserve Bank of New York, the European Central Bank, the Bank for International Settlements, the International Monetary Fund, as well as partners in law firms from across the world.

Upon completion of the programme you will be able to:

- critically analyze the main legal developments and uncertainties in different areas of finance
- analyse banking and finance problems from a legal, economic and public policy perspective
- conduct independent legal research
- demonstrate a thorough knowledge of banking and financial issues from a regulatory and a transnational angle

Academic Content:	
A 1	gain a thorough knowledge of banking and financial law and regulation from a national, european and international perspective
A 2	understanding of legal doctrines relevant to the field
A 3	understand the cross disciplinary aspects of banking and financial law

Disciplinary Skills - able to:	
B 1	critically analyse complex legal problems
B 2	conduct independent legal research
B 3	apply new concepts to clarify and interpret old problems

Attributes:	
C 1	communicate ideas in a clear and concise yet technical way

C2	ability to think critically
C3	ability to solve complex and interconnected legal problems

### How will you learn?

As a student you will be exposed to a variety of different teaching methods which include traditional and interactive lectures, seminars, tutorials and problem solving sessions.

Students are also expected to invest a significant amount of time in independent learning, including reading materials in advance of lectures/seminars, preparing class presentations, completing assignments and preparing for examinations.

Depending on the modules, students are encouraged to work in group and to present their findings to their fellow classmates.

In addition, students will need to carry out extensive research to complete the dissertation. Students will be provided with a variety of legal resources and receive training on how to use them.

### How will you be assessed?

There are different types of assessment, depending on the modules chosen: examination, essays and/or coursework for taught modules and by dissertation.

### How is the programme structured?

Please specify the structure of the programme diets for all variants of the programme (e.g. full-time, part-time - if applicable). The description should be sufficiently detailed to fully define the structure of the diet.

Master of Laws (LLM)

- Students on the LLM have to complete 180 credits including the compulsory Dissertation in Banking and Finance Law SOLM904.
- Full-time students have to take between 60 and 90 credits of taught modules in each semester.
- Part-time students are normally expected to take 90 credits each year of their programme but this may be varied by special permission.
- Part-time students are normally expected to take 45 credits in each semester of their programme but this may be varied by special permission.

An additional dissertation (SOLM901) can be taken instead of taught modules by LLM students only with special permission from the LLM Programme Coordinator.

Programme Title: LLM Banking and Finance Law

Module Title	Module Code	Credits	Level	Module Selection Status	Academic Year of Study	Semester
Securities Regulation	SOLM001	30	7	Elective	1	Semester 2
EU Financial and Monetary Law	SOLM002	30	7	Elective	1	Semester 1
Regulation of Financial Markets	SOLM003	30	7	Elective	1	Semester 1
International Financial Regulation	SOLM004	30	7	Elective	1	Semester 2
International Finance Law	SOLM005	30	7	Elective	1	Semester 1
International Finance Law Applied	SOLM006	30	7	Elective	1	Semester 2
Banking Law International	SOLM007	30	7	Elective	1	Semester 1
Banking and FinTech Law	SOLM008	30	7	Elective	1	Semester 2
Corporate Finance Law	SOLM009	30	7	Elective	1	Semester 1
Law and Ethics in Business and Finance	SOLM011	15	7	Elective	1	Semester 1
Corporate Governance and Responsibility in Finance	SOLM012	30	7	Elective	1	Semester 2
Bank Insolvency and Resolution	SOLM013	15	7	Elective	1	Semester 2
Sovereign Debt Restructuring	SOLM014	15	7	Elective	1	Semester 2
Islamic Finance and Commercial Law	SOLM016	15	7	Elective	1	Semester 2
Insurance Regulation	SOLM139	30	7	Elective	1	Semester 2
Legal Aspects of Financing Development	SOLM191	30	7	Elective	1	Semester 2
International Economic Law	SOLM194	15	7	Elective	1	Semester 1
Law of Economic Crime: Proceeds of Crime	SOLM199	30	7	Elective	1	Semester 1

Programme Title: LLM Banking and Finance Law

Module Title	Module Code	Credits	Level	Module Selection Status	Academic Year of Study	Semester
Law of Economic Crime: Corruption	SOLM200	30	7	Elective	1	Semester 2
Compliance in Global Markets	SOLM223	15	7	Elective	1	Semester 1
Compliance Systems in Practice	SOLM224	15	7	Elective	1	Semester 1
Art and Money	SOLM230	15	7	Elective	1	Semester 2
Accounting for Lawyers	SOLM234	15	7	Elective	1	Semester 1
Derivatives in a Legal Context	SOLM235	15	7	Elective	1	Semester 1
Risk Management in Law	SOLM236	15	7	Elective	1	Semester 2
Dissertation in Banking and Finance Law	SOLM904	30	7	Compulsory	1	Semesters 1-3
Dissertation	SOLM901	30	7	Elective	1	Semesters 1-3
Law and Finance in Practice	SOLM237	15	7	Elective	1	Semester 2
Mergers and Acquisitions	SOLM010	30	7	Elective	1	Semester 2

### What are the entry requirements?

The usual qualification for entry to the LLM programme is a degree in law, or a degree with a substantial law content, of at least 2.1 honours (or equivalent). Law graduates with 2.2 honours who also have other legal qualifications and/or substantial professional legal experience may also qualify.

Non-law graduates with a minimum second class honours degree, that have also obtained a Merit (or 60 per cent) in the Common Professional Examination (CPE) or Graduate Diploma in Law (GDL) recognised by the UK professional bodies, may also qualify. Non-law graduates may also be considered on the basis of exceptional professional experience (of at least five years) in a legal area or an area directly related to their programme of study.

Applicants whose first language is not English must provide evidence of your English language proficiency. The usual English Language Requirements for Postgraduate Law Taught Programmes will apply. These may be accessed at <http://www.law.qmul.ac.uk/postgraduate/courses/english-language-requirements/index.html#Postgraduatelawtaughtprogrammes>

### How will the quality of the programme be managed and enhanced? How do we listen to and act on your feedback?

The Staff-Student Liaison Committee provides a formal means of communication and discussion between schools/institutes and its students. The committee consists of student representatives from each year in the school/institute together with appropriate representation from staff within the school/institute. It is designed to respond to the needs of students, as well as act as a forum for discussing programme and module developments. Staff-Student Liaison Committees meet regularly throughout the year.

Each school/institute operates a Learning and Teaching Committee, or equivalent, which advises the School/Institute Director of Taught Programmes on all matters relating to the delivery of taught programmes at school level including monitoring the application of relevant QM policies and reviewing all proposals for module and programme approval and amendment before submission to Taught Programmes Board. Student views are incorporated in the committee's work in a number of ways, such as through student membership, or consideration of student surveys.

All schools/institutes operate an Annual Programme Review of their taught undergraduate and postgraduate provision. APR is a continuous process of reflection and action planning which is owned by those responsible for programme delivery; the main document of reference for this process is the Taught Programmes Action Plan (TPAP) which is the summary of the school/institute's work throughout the year to monitor academic standards and to improve the student experience. Students' views are considered in this process through analysis of the PTES and module evaluations.

### **What academic support is available?**

Students will receive a comprehensive induction. Students will be assisted with the preliminary stages of selecting a research topic, drafting a research proposal, considering their methodology and developing the skills needed to identify and use relevant materials. Lecturers on taught modules shall be available to discuss any concerns students might have with regard to the particular module or its content.

### **Programme-specific rules and facts**

Students can take up to 45 credits outside of their programme within the postgraduate programmes offered by the School of Law.

In order to specialise in Banking and Finance Law, LLM students must take at least 135 credits, including their dissertation, in modules on the Banking and Finance Law programme.

### **Specific support for disabled students**

Queen Mary has a central Disability and Dyslexia Service (DDS) that offers support for all students with disabilities, specific learning difficulties and mental health issues. The DDS supports all Queen Mary students: full-time, part-time, undergraduate, postgraduate, UK and international at all campuses and all sites.

Students can access advice, guidance and support in the following areas:

- Finding out if you have a specific learning difficulty like dyslexia
- Applying for funding through the Disabled Students' Allowance (DSA)
- Arranging DSA assessments of need
- Special arrangements in examinations
- Accessing loaned equipment (e.g. digital recorders)
- Specialist one-to-one "study skills" tuition
- Ensuring access to course materials in alternative formats (e.g. Braille)
- Providing educational support workers (e.g. note-takers, readers, library assistants)

### **Links with employers, placement opportunities and transferable skills**

Programme Title: LLM Banking and Finance Law

---

## Programme Specification Approval

---

**Person completing Programme Specification:**

**Person responsible for management of programme:**

**Date Programme Specification produced / amended by  
School / Institute Learning and Teaching Committee:**

**Date Programme Specification approved by Taught  
Programmes Board:**